

Distributor Name and ARN	Sub Broker Code	Branch / RM Code	For Office use only
Distributor Contact No:			

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

1. FIRST APPLICANT'S DETAILS

Name of First Applicant (First / Middle / Surname) _____ Title Mr. Ms. M/s

Existing Folio No _____ / _____ (If you have an existing folio number with PAN and KYC validation, please mention the number here and skip to section 5. Mode of holding will be as per existing folio number)

Date of Birth (Mandatory for minor) DD / MM / YY YY Gender Male Female

Email ID (in capital) (Refer instruction 4.) _____

PAN (1st applicant / guardian) _____ **Enclosed** (Please tick) Attested PAN card copy
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

Name of Guardian if minor / **Contact Person** for non-individuals / **PoA** Holder name: _____ **PoA PAN*** _____

Address for Correspondence (P.O. Box address is not sufficient) *PoA should be KYC compliant and also attach KYC Acknowledgement

City _____ Pin Code (Mandatory) _____ State _____

STD Code _____ Telephone _____ Fax _____

Mobile +91 _____

Overseas Address (mandatory for NRI / FII applicants in addition to mailing address in India) (P. O. Box address is not sufficient)

City _____ State _____ Pin Code (Mandatory) _____

Country _____

Status of Sole/1st Applicant (Please tick) Individual On Behalf Of Minor HUF Sole Proprietorship NRI (Repatriable) NRI (Non-Repatriable)
 Partnership Firm Company AOP/BOI Body Corporate Trust Society FII FOF - MF schemes Provident Fund
 Superannuation / Pension Fund Gratuity Fund Bank / FI Government Body Insurance Companies Others _____ (Please specify)

Occupation (Please) Service Professional Business Housewife Retired Student Other _____

2. JOINT APPLICANTS' DETAILS

Name of Second Applicant (First / Middle / Surname) _____ Title Mr. Ms. M/s

PAN (2nd applicant) _____ **Enclosed** (Please tick) Attested PAN card copy
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

Name of Third Applicant (First / Middle / Surname) _____ Title Mr. Ms. M/s

PAN (3rd applicant) _____ **Enclosed** (Please tick) Attested PAN card copy
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

Mode of Holding (Please tick) Single Anyone or survivor Joint (Default)

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP BLACKROCK MUTUAL FUND

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

Application No. _____

From _____

Cheque no.	Date	Amount	Scheme

3. BANK ACCOUNT DETAILS (Refer Instruction 3) (Mandatory)

Bank Name

Bank A/C No. A/C Type Savings Current NRE NRO FCNR Others

Branch Address

City Pin

9 Digit MICR code (This is a 9 digit number next to your cheque number) IFSC code: (11 digit)

4. OTHER FACILITIES (Please)

I would like to receive a PIN (for telephone & internet transactions, as and when started)

5. INVESTMENT AND PAYMENT DETAILS (Refer Instruction 5)

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

Payment Mode: Cheque DD RTGS NEFT Funds transfer

I. Scheme Name **Plan** **Option & Sub Option**

Cheque/DD/RTGS/NEFT No. Cheque/RTGS/NEFT/DD Date D D / M M / Y Y Y Y

Amount of Cheque/DD (Rs.) (i)

DD charges, if any, (Rs.) (ii) Drawn on Bank/Branch Name)

Total Amount In Words (Rs.)

(i) + (ii) In figures (Rs.) **Account Type** (Please) Savings Current NRE NRO FCNR

Payment Mode: Cheque DD RTGS NEFT Funds transfer

II. Scheme Name **Plan** **Option & Sub Option**

Cheque/DD/RTGS/NEFT No. Cheque/RTGS/NEFT/DD Date D D / M M / Y Y Y Y

Amount of Cheque/DD (Rs.) (i)

DD charges, if any, (Rs.) (ii) Drawn on Bank/Branch Name)

Total Amount In Words (Rs.)

(i) + (ii) In figures (Rs.) **Account Type** (Please) Savings Current NRE NRO FCNR

6. NOMINATION DETAILS (Refer Instruction 6)

	Nominee	Name of Guardian (In case of Minor)	% of Investment Allocation
Nominee 1			
Nominee 2			
Nominee 3			
Address			Total = 100%

7. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions, I/We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only

I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

If NRI Repatriation basis Non-Repatriation basis

SIGNATURE (S)

Sole / First Applicant/ Guardian

Second Applicant

Third Applicant

Email: service@dspblackrock.com
Website: www.dspblackrock.com

Contact Centre: 1800 200 4499

Checklist All Investments Bank Mandate is provided
 PAN Card copy (Attested with a seal by a Distributor, Bank Manager, Notary)
 KYC Acknowledgement

NEW REGISTRATION RENEWAL OF REGISTRATION

REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Direct Debit/Standing Instructions

BROKER ARN:	Sub Broker Code	For Office use only
Contact No:		

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name		
Existing Investor Folio No.	/	Date of Birth DD / MM / YYYY
Scheme		
Plan	Option/Sub option	
Email ID: (In capital)		
Mobile Number:	+ 9 1	(For SMS Alerts) (For Email Delivery instead of physical account statement.)

	Sole / First Applicant / Guardian	Second Applicant / Guardian	Third Applicant / Guardian
PAN (Provide attested copy) <small>In case of Micro SIP (Refer Instruction 3)</small>			
ID Proof Document Name			
Document Number <small>(provide attested photo identification proof)</small>			

SIP AND DEBIT DETAILS

Each SIP Amount (Rs.) Frequency Monthly* Quarterly
(Minimum Rs. 1,000/-)

SIP Debit Dates: 1st* 7th 14th 21st All four dates of the month / quarter (minimum 12 instalments).

SIP Period Start Month MM YY End Month MM YY *Default

(Note: There should be a minimum time gap of one month and maximum time gap of two months between the first cheque for SIP investment and first instalment of SIP Debit)

First SIP Cheque No.: **Cheque date** DD / MM / YYYY

(Cheque amount same as Auto Debit Amount and should be drawn on bank whose details are provided below)

Mandatory Enclosure (If 1st instalment is not by cheque) Blank Cancelled Cheque Cheque Copy

PARTICULARS OF BANK ACCOUNT

I/We hereby authorise DSP BlackRock Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit/SI to account for collection of SIP payments.

Accountholder Name as in Bank Account

Bank Name

Branch Name & Address

Account Number Account Type Savings Current NRE NRO
(Core Banking No. in full)

9 Digit MICR Code ◀ (Please enter the 9 digit number that appears after your cheque number)

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP BlackRock Mutual Fund, its service providers and bank about any changes in my bank account. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf. In case of Micro SIP application without PAN, I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other model), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

First Account Holder's Signature	(As in Bank Records)	Second Account Holder's Signature	(As in Bank Records)	Third Account Holder's Signature	(As in Bank Records)
---	----------------------	--	----------------------	---	----------------------

Authorisation of the Bank Accountholder (to be signed by the Bank Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative of DSP BlackRock Mutual Fund carrying this mandate form to get it verified & executed. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

Bank Account Number

First Account Holder's Signature	(As in Bank Records)	Second Account Holder's Signature	(As in Bank Records)	Third Account Holder's Signature	(As in Bank Records)
---	----------------------	--	----------------------	---	----------------------

Acknowledgement (Subject to verification)

DSP BlackRock Mutual Fund

Investor's Name

Folio No. / Scheme

SIP Date SIP Amount (Rs.) Frequency: Monthly Quarterly

List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

- Agra ● Ahmedabad ● Allahabad ● Amritsar ● Asansol ● Aurangabad ● Bangalore ● Baroda ● Belgaum ● Bhilwara ● Bhopal
- Bhubaneswar ● Bijapur ● Bikaner ● Burdwan ● Calicut ● Chandigarh ● Chennai ● Cochin ● Coimbatore ● Cuttack ● Davangeree
- Dehradun ● Delhi ● Dhanbad ● Durgapur ● Erode ● Gadag ● Gorakhpur ● Gulbarga ● Guwahati ● Gwalior ● Hubli ● Hyderabad
- Indore ● Jabalpur ● Jaipur ● Jalandhar ● Jammu ● Jamnagar ● Jamshedpur ● Jodhpur ● Kakinada ● Kanpur ● Kolhapur ● Kolkata
- Lucknow ● Ludhiana ● Madurai ● Mandya ● Mangalore ● Mumbai ● Mysore ● Nagpur ● Nasik ● Nellore ● Panjim ● Patna
- Pondicherry ● Pune ● Raichur ● Raipur ● Rajkot ● Ranchi ● Salem ● Shimla ● Shimoga ● Siliguri ● Solapur ● Surat ● Tirupati
- Tirupur ● Trichur ● Trichy ● Trivendrum ● Udaipur ● Udupi ● Varanasi ● Vijayawada ● Vizag

In other cities, facility available to account holders of Core Banking Branches of following banks

- Axis Bank ● Bank of Baroda ● Bank of India ● Bank of Rajasthan ● Citibank ● ICICI Bank ● IDBI Bank ● ING Vysya Bank ● Kotak Mahindra Bank ● Punjab National Bank ● Syndicate Bank ● Vijaya Bank

1. Please refer the SID, SAI and Key Information Memorandum. Addenda issued from time to time for Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
2. This facility is only offered to those investors having bank accounts in select cities and with banks as mentioned above. The list of cities, banks and branches may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
3. PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
4. If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
5. In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
6. To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
7. Complete application form and SIP debit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
8. Existing Investors are required to submit the SIP debit form, indicating the existing Account Number and investment details in the SIP section of this form.
9. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
10. It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
11. The SIP period should cover minimum 12 installments, whether monthly or quarterly. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum twelve installments, even if the investor has submitted the form late or requested for a period less than 12 installments. In case "all four dates" is selected, SIP will be registered for a minimum of 3 months for each of the four days. The debit will start after minimum one month only and thereafter on all four dates.
12. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
13. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
14. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
15. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
16. Investors will not hold the AMC, its Registrar, banks and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS or to due to any action/non action by the bankers of the investors.
17. For the purpose of SIP investments, the investor and/or bank account holders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank account holders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC. The investor/s or the bank account holders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, service providers, bankers, correspondent bankers and service providers in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, bankers, correspondent bankers and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted at least one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/registrar/bank counters and giving reasonable notice to effect such withdrawal.
18. To modify SIP debit bank mandate, the investor may fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Mutual Fund. Instructions in any other form / manner are liable to be rejected.
19. This request for debit mandate is valid until it is revoked by the investor through a written letter withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at bank counters. Such request must be submitted at least one month prior to the due date of the next SIP, failing which SIP debit may be processed. If the cancellation/termination / withdrawal letter is submitted to the AMC/Registrar, the customer agrees that it is an authority to the AMC/Registrar to instruct the debit bank on discontinuation of SI and Customer will not hold Bank responsible for any SI cancellation based on AMC instruction.
20. Investors agree that the AMC may discontinue the SIP facility for any investor / folio entirely at it's discretion and advise banks for SI cancellation in case one or more debits are rejected and funds not received for any reason.

SIP AUTO DEBIT FORM CHECKLIST

- | | |
|---|---|
| <ul style="list-style-type: none"> <input type="checkbox"/> Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly <input type="checkbox"/> SIP Date, SIP Frequency has been ticked correctly <input type="checkbox"/> Start Date and End Date is clearly mentioned as Month – Year. | <ul style="list-style-type: none"> <input type="checkbox"/> Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly. <input type="checkbox"/> Form is signed by all applicants as in bank records in two places as specified. |
|---|---|

Broker Code _____ Sub Broker Code _____ Application No. _____

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

NAME OF UNITHOLDER / APPLICANT / GUARDIAN _____ Date of Birth / / / / / / / FOLIO NO. _____ / _____ / _____

E-mail id to receive transaction confirmation / Account Statements etc.

Sole / First Applicant / Guardian _____ Second Applicant / Guardian _____ Third Applicant / Guardian _____

PAN (Provide attested copy) _____
In case of Micro SIP (Refer Instruction 3) _____

ID Proof Document Name _____

Document Number _____
(provide attested photo identification proof)

1. SYSTEMATIC INVESTMENT PLAN (SIP) (Separate Cheque required for each investment)

Scheme, Plan _____ Option _____

Frequency (Please Monthly* Quarterly)

SIP Date: 1st 7th 14th 21st All four dates of the month SIP Period From _____ To _____

Each SIP Amount Rs. _____ *Default options M M / Y Y Y Y (Minimum 12 instalments) To M M / Y Y Y Y

(Minimum Rs. 1,000/-) Cheque Nos. From _____ To _____

All cheques should be of same date of the months/quarters.

Drawn on _____ Bank _____ Branch _____ City _____

2. SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please allow 7 days to register SWP)

Scheme, Plan _____ Option _____

Withdrawal Amount _____

Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-)[#] Withdrawal period starting from _____ (Period to cover - minimum 6 SWP transactions)

Capital Appreciation, subject to a Minimum of Rs. 1,000/-[#]

Withdrawal Dates 1st* 7th 14th 21st All four SWP Dates _____ To _____

Frequency Monthly* Quarterly * Default options M M / Y Y Y Y M M / Y Y Y Y

3. SYSTEMATIC TRANSFER PLAN (STP) (Please allow 7 days to register STP)

STP From Scheme, Plan _____ Option _____

STP To Scheme, Plan _____ Option _____

Transfer Amount _____

Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-)[#] Transfer period starting from _____ (Period to cover - minimum 6 STP transactions)

Capital Appreciation, subject to a Minimum of Rs. 1,000/-[#]

Transfer Dates 1st* 7th 14th 21st All four STP Dates _____ To _____

Frequency Monthly* Quarterly * Default options M M / Y Y Y Y M M / Y Y Y Y

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Addenda issued till date of the Scheme & Plans mentioned above (including the target Scheme in case of STP) and Instructions overleaf, I/We apply to the Trustee, DSP BlackRock Mutual Fund, for SIP / SWP / STP, as the case may be, and agree to abide by the terms and conditions of SIP / SWP / STP. I/We agree to consider investment through STP as a deemed SIP investment. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. In case of Micro SIP application without PAN, I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

_____ / _____ / _____
D D / M M / Y Y Y Y

SIGNATURE (S)
(All Holders to sign)

Sole / First Applicant / Guardian _____

Second Applicant / Guardian _____

Third Applicant / Guardian _____

SIP / SWP / STP FORM - ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

ACCOUNT NO. _____ / _____ *Subject to verification, realisation and conditions

Received from _____

An application for STP SIP Cheque No. From _____ To _____

SWP Total cheques

ISC Stamp & Signature _____

INSTRUCTION FOR SYSTEMATIC INVESTMENT (SIP)

- New investors investing in a Scheme / Plan using SIP should fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details". Such investors should fill in their investment details in the SIP section of this form.
- Existing Investors are required to submit only this form (SIP /SWP /STP Form), indicating the existing Folio /Account Number, PAN details as applicable and investment details in the SIP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
- Minimum Investment Amount for each SIP installment is Rs. 1000/- in all schemes and SIP has to be for a minimum of 12 installments.
- You can opt for an SIP on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
- SIP Facility is available only on specific dates of the month viz 1st /7th / 14th / 21st.. All Cheques should be of the same date of month / quarter and for the same amount.
- In case "All four dates of the month" is selected, minimum 3 cheques for each date should be given i.e. minimum 12 Cheques should be given.
- The Cheques should be drawn in favour of "Name of the Scheme", as applicable, e.g. "DSP BlackRock Equity Fund."
- A separate SIP Form should be filled in for each Scheme / Plan / Option. Your Folio/Account number and name should be mentioned on the reverse of the Cheque.
- You can choose to discontinue this facility by giving a 30 days' written notice to the Registrar.

INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- New Investors investing in a scheme for the first time and wishing to avail of SWP, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Folio/Account Number and withdrawal details in the SWP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SWP facility.
- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence, form should be submitted atleast 7 days before the SWP start date.
- SWP facility can be availed of only by Unit Holders with a minimum balance of Rs. 25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs. 25,000/-, SWP will not be registered.
- SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an SWP for a fixed amount or appreciation on a weekly (on all four SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
- SWP has to be for minimum a of 6 installments irrespective of frequency i.e. 6 dates in case of weekly option or 6 months in case of monthly option or 6 quarters in case of quarterly option.
- SWP withdrawal request should not be below Rs. 1000/-. For investors availing of the withdrawal of 'appreciation' option, where, in any week, month or quarter, there is no appreciation or the appreciation is less than Rs. 1,000, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.
- Where start date is not specified clearly, SWP will be registered to start from a period after 7 days on the SWP date as available / mentioned. Where end date is not specified clearly, SWP will be registered for a period of 3 years.
- Investors may choose to discontinue this facility by giving a 30 days' written notice to the Registrar.

INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

- If you are investing in a Scheme for the first time and wish to avail of STP, please fill in all the sections in the Common Application Form. The transfer details should be indicated in the STP Section of this form.
- Existing Investors are required to submit only this form (SIP /SWP /STP Form) indicating the existing Account/Folio Number and transfer details in the STP section
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for STP facility.
- Please allow upto 7 days for the STP to be registered and the first STP transaction to happen. Hence, form should be submitted atleast 7 days before the STP start date.
- STP facility can be availed of only by Unit Holders with a minimum balance of Rs.25,000/- in the folio at the time of STP registration by the Registrar. If the balance is less than Rs.25,000/-, STP will not be registered.
- STP Facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an STP for a fixed amount or appreciation on a weekly (on all four STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as the default option.
- STP has to be for a minimum of 6 transactions / transfers irrespective of frequency, i.e. 6 dates incase of weekly option or 6 months incase of monthly option or 6 quarters incase of quarterly option.
- Your transfer request should not be below Rs.1000/-. For investors availing of the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.
- Where start date is not specified clearly, STP will be registered to start from a period after seven days on the STP date as available / mentioned. Where end date is not specified clearly, STP will be registered for a period of three years.
- You can choose to discontinue this facility by giving a 30 days' written notice to the Registrar.

**Email: service@dspblackrock.com
Website: www.dspblackrock.com**

Contact Centre: 1800 200 4499

Instructions

Please read carefully the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted even if they are using an old application form, subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

All documents required to be submitted with the application form or later, like power of attorney, etc. should be in English language or notarized translated copy in English language.

1. General Instructions

- a. This application form may be used by both resident and non-resident investors.
- b. The application form should be filled in English in BLOCK LETTERS and the applicants' names and address should be provided in full (P.O. Box No. alone is not sufficient). Please tick (✓) in the appropriate box (☐), where boxes have been provided.
- c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/returned without interest.
 - i. Application forms incomplete in any respect or where there is any over writing on the form without the applicant's counter signature
 - ii. Application forms invalid / incomplete / ambiguous / without supporting documents in any respect.
 - iii. Application forms that are not accompanied by a payment instrument for the amount payable, such as a cheque or a demand draft, or an account-to-account transfer instruction to credit the account of DSP BlackRock Mutual Fund (Designated Account) on the same day.
 - iv. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.
- d. No separate receipt will be issued for the application money. The bank / Investor Service Centre / Collection Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification.
- e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.
- f. All allotments will be provisional, subject to realisation of payment instrument and subject to the AMC having been reasonably satisfied that the Mutual Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.
- g. Any subsequent changes in static information like address, bank details, dividend sub option etc. will be based on written communication from investors. These changes will be effected within 5 days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only.
- h. Investors shall note that they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited, if their investments (including SIP) are of value greater than or equal to Rs. 50,000. For more information, please log on to www.dspblackrock.com/www.cvlindia.com/www.amfiindia.com before investing. Applications of Rs. 50,000 or more are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants.
 - i. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for ARN Number. Any subsequent change / updation / removal of broker code will be based on the written request from the unitholders and will be on a prospective basis only from the date when the Registrar executes such written instruction.
 - j. Investors should mandatorily use the application form/SIP debit form & SIP/SWP/STP form in the KIM booklet, and other standard forms available at the ISCs/www.dspblackrock.com, for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected.
- k. Investors should provide details/instructions only in the space provided in the form. Any details/notings/information/instruction provided at a non designated area of the standard form being used, or any additional details for which space is not designated in the standard form, may not be executed and the AMC will not be liable for the same.
- k. The AMC and its Registrar reserve the right to disclose the details of investors and their transactions to third parties viz. banks, distributors from whom applications of investors are received and any other organization for the purpose of compliance with legal and regulatory requirements or for complying with anti-money laundering requirements.

1. First Applicants' Details:

- a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full.
- b. If the applicant/s already has/have a folio with the Mutual Fund, the applicant should fill in the folio number, in order to invest in the same folio. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders, the mode of holding and the folio no. are the same. The names of the holders, the mode of holding, etc., will remain as per the details available in the existing folio.
- c. PAN shall be the sole identification number and is mandatory for all investors (including joint applicants, guardians, PoA holders and NRIs), irrespective of the amount of transaction. Accordingly, investors shall be required to submit a photocopy of the PAN card, provided that it shall either be verified with the originals at the Collection Center or be verified/attested by AMFI registered distributors, bank managers or judicial authorities.
- d. Investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
- e. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN, provided they mention their Sikkim address on application form and provide a proof of such address, duly verified by bank managers or judicial authorities.
- f. If the application is on behalf of a minor, the guardian's name and the date of birth of the minor should be provided.
- g. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation. In the case of NRIs, overseas address should be provided. To receive communication at an Indian address, NRIs should separately communicate Indian address and their folio to the Mutual Fund.
- h. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarized, or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. All documents should be in English language or notarized translated copy in English language.

2. Joint Applicants' Details:

- a. Joint applicants must fill in all details as requested in section 2.
- b. PAN and KYC compliance is mandatory for all applicants, irrespective of mode of holding. For more details, please refer General Instruction (h) and instruction 1(c) and (d).
- c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be "Joint".
- d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

3. Bank Account Details:

- a. To protect the interests of investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund schemes to provide their bank mandate. **Applications without the mandatory bank details are liable to be rejected.**
- b. The investor agrees that the proceeds towards redemptions and dividends will be despatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of using direct credit facility, RTGS or NEFT, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor.
- c. Any subsequent request for change of bank mandate details will be entertained only if the customer provides any of the following along with the designated change request form.
 - i. copy of a cheque of new bank account or
 - ii. a cancelled cheque pertaining to new bank account or
 - iii. a letter from new bank certifying bank account details including MICR code & IFSC Code (where available)
- d. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within five days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.

4. Other Facilities / Email Communication:

- a. Account statements, newsletters, annual reports and other kinds of communication can be sent efficiently via email, as provided for in the application form; hence applicants are encouraged to provide their email address in the application form.
- b. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to service@dsplblackrock.com.
- c. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- d. PIN facility: The Fund may provide the facility to transact over the telephone or the Internet. For this purpose, Unit Holders will be provided with a Personal Identification Number (PIN). PIN can be issued to all investors to avail of various services. However only individuals whose mode of holding is "Single" will be allowed to transact over the phone / Internet. Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the relevant SID and tick the relevant box in Section 4 of the application form to request for a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

5. Investment and Payment Details:

- a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. **In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied.** The Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted.
- b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned.
- c. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), outstation cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.

- e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House.
- f. The cheque or demand draft should be drawn in favour of '**DSP BlackRock (Scheme Name)**', as the case may be, and should be crossed '**Account Payee Only**'.
- g. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- h. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed.
- i. For NRIs, FIIs, and Persons of Indian Origin investing on a non-repatriable basis, payments may be made by cheques or demand drafts drawn on Non-Resident Ordinary (NRO) accounts or Non-Resident Special Rupee (NRSR) accounts, payable at the city where the application form is submitted.
- j. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.

6. Nomination Details:

- a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Kartas of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot nominate. If Units are held jointly, all joint holders should sign the Nomination Form.
- b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his/her office, or a religious or charitable trust.
- c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time.
- d. Nomination in respect of Units stands rescinded upon redemption of the Units.
- e. Transfer of Units in favour of a nominee(s) shall be valid discharge by the AMC against the legal heir.
- f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee(s).
- h. The rights in the Units will vest in the nominee(s) only upon the death of all Unit Holders.
- i. Nomination can be made in favour of more than one, and upto three individuals. Investors who desire to make nomination in respect of multiple nominees should clearly specify the percentages to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.

7. Declaration & Signatures

- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
- b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
- c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
- d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.